

**South Carolina Home and Community Based Services Transition Plan Timeline
Intellectually Disabled and Related Disabilities (ID/RD) Waiver Renewal**

| Section 1. Identification | | | | | | |
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| <i>Action Item</i> | <i>Description</i> | <i>Start Date</i> | <i>End Date</i> | <i>Sources</i> | <i>Stakeholders</i> | <i>Intervention/Outcome</i> |
| Identify Day Programs | Identify the number of Day programs serving individuals in waiver | March 2014 | April 2014 | SCDDSN | SCDHHS, SCDDSN | Number of facilities to assess identified |
| Identify Adult Day Health Care Providers | Identify the number of ADHC's serving individuals in waiver | March 2014 | April 2014 | SCDDSN, SCDHHS | SCDHHS, SCDDSN | Number of facilities to assess identified |
| Identify Residential programs | Identify the number and type of residential programs serving individuals in waiver | March 2014 | April 2014 | SCDDSN | SCDHHS, SCDDSN | Number of facilities to assess identified |
| Section 2. Assessment | | | | | | |
| <i>Action Item</i> | <i>Description</i> | <i>Proposed Start Date</i> | <i>Proposed End Date</i> | <i>Sources</i> | <i>Stakeholders</i> | <i>Intervention/Outcome</i> |
| Develop Residential assessment tool | Create an assessment tool for providers to evaluate compliance with settings requirements | June 2014 | August 2014 | CMS Guidance, CFR, State developed assessment tools (Iowa, Kansas, Florida) | SCDHHS, SCDDSN, providers | Assessment tool developed |
| Develop Day facility assessment tool | Create an assessment tool for providers to evaluate compliance with settings requirements | July 2014 | August 2014 | CMS Guidance, CFR, State developed assessment tools (Iowa, Kansas, Florida) | SCDHHS, SCDDSN, providers | Assessment tool developed |

| Section 2. Assessment | | | | | | |
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| Action Item | Description | Proposed Start Date | Proposed End Date | Sources | Stakeholders | Intervention/Outcome |
| Submit assessment tools for review | Both Assessment tools will be submitted to CMS and the large Stakeholder workgroup for review and feedback | August 2014 | September 2014 | Draft assessment tools | SCDHHS, SCDDSN, Providers, Advocacy groups, beneficiaries, families | Incorporate appropriate revisions into tool |
| Distribute Assessment Tool to providers | Providers will complete the self-assessment tool to determine compliance with HCBS rule | September 2014 | October 2014 | Assessment Tool | SCDHHS, Providers, SCDDSN | Providers complete assessment |
| Review of self-assessment data | SCDHHS will review self-assessment data of providers to determine who is in compliance and who is not | October 2014 | April 2015 | Assessment results | SCDHHS | Results identify in priority order provider site visits, steps needed to come into compliance |
| Response to providers from assessment | Providers will be notified of any areas of correction for compliance with HCBS Rule | November 2014 | May 2015 | Assessment results | SCDHHS | Providers aware of deficiencies regarding compliance with HCBS Rule |
| Section 3. Corrective Action | | | | | | |
| Action Item | Description | Proposed Start Date | Proposed End Date | Sources | Stakeholders | Intervention/Outcome |
| Develop Corrective Action plan | SCDHHS informs providers to create their own corrective action plan outlining how they will come into compliance. It will be | December 2014 | June 2015 | Assessment results, information from SCDHHS, CMS guidance | SCDHHS, Providers | Each provider develops an approved corrective action plan |

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| | submitted to SCDHHS to review and approve | | | | | |
| Section 3. Corrective Action | | | | | | |
| Action Item | Description | Proposed Start Date | Proposed End Date | Sources | Stakeholders | Intervention/Outcome |
| Policy Revisions | SCDHHS will review and revise policies to reflect HCBS regulations as well as ongoing monitoring and compliance | January 2015 | December 2015 | CMS Guidance, CFR, SCDHHS policy manuals | SCDHHS, Partner agencies, providers, beneficiaries, families, advocacy groups | Policies reflect HCBS requirements |
| Provider follow up | SCDHHS will follow up with providers to determine status of corrective action and if HCBS requirements are met | January 2015 | March 2019 | Assessment results, Provider corrective action plans, CMS Guidance, CFR, SCDHHS policies | SCDHHS, Providers | Providers come into compliance with HCBS rule |
| Provider Training and Education | SCDHHS will develop and provide training and education to providers to ensure understanding of HCBS rule requirements, ensure ongoing compliance with requirements | January 2015 | December 2015 | CMS Guidance, CFR, SCDHHS policies, | SCDHHS, partner agencies, providers | Educate providers on HCBS rule and its requirements |
| Section 4. Communications | | | | | | |
| Action Item | Description | Proposed Start Date | Proposed End Date | Sources | Stakeholders | Intervention/Outcome |
| Form Stakeholder workgroup | Invited various stakeholders to come | February 26, 2014 | February 2015 | Partner Agencies, | Partner Agencies, Advocacy groups, | Monthly Workgroup meetings; more |

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| | together to address new HCBS Final Rule and provide input on plans to come into compliance | | | Advocacy groups, providers, beneficiaries, and families | providers, beneficiaries, and families | frequent subgroup meetings on Waiver renewals |
| Section 4. Communications | | | | | | |
| Action Item | Description | Proposed Start Date | Proposed End Date | Sources | Stakeholders | Intervention/Outcome |
| Form Waiver Renewal Subgroup | Participants from larger group invited to participate on waiver renewal subgroup to address proposed waiver changes, content of waiver renewal transition plan | March 18, 2014 | July 28, 2014 | CMS Guidance, CFR | SCDHHS, SCDDSN, SCDMH, Providers, Advocacy groups | Weekly subgroup renewal meetings Draft Transition Plan developed for Waiver renewals Draft HIPAA Form developed for Person-centered planning |
| Provide Notice to MCAC | Provide notice of the Waiver Renewals and the Transition plan at two MCAC meetings | May 13, 2014 | July 8, 2014 | Advisories to MCAC | SCDHHS, SCDDSN, Providers, Beneficiaries, Advocacy groups | MCAC advised of Waiver renewals and when will be submitted per agency policy |
| Tribal Notification | Notice is provided to the Catawba Indian Nation on the renewal of the waiver and a conference call is held to discuss | June 5 & 23, 2014 | June 25, 2014 | Proposed waiver renewal changes | SCDHHS, Catawba Indian Nation | Any questions or concerns about waiver renewals addressed on phone call |
| Public Notice provided | Notice of the waiver renewals is posted to the SCDHHS website, sent out via listserv to any interested parties, | August 6, 2014 | September 5, 2014 | Public notice document, ID/RD Transition plan | SCDHHS, SCDDSN, Beneficiaries, families, | Public notice posted with transition plan for ID/RD waiver |

| | and is shared with members of the large Stakeholder Workgroup | | | Draft document | Providers, Advocacy Groups | |
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| Section 4. Communications | | | | | | |
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| Public comment – waiver renewals and transition plan | SCDHHS will gather public comments for review through multiple methods and make appropriate changes to the waiver renewals and transition plan. Comments will be gathered via mail, email, and in person. | August 6, 2014 | September 5, 2014 | Public notice document, ID/RD Transition plan Draft document | SCDHHS, SCDDSN, Beneficiaries, families, Providers, Advocacy Groups | Public notice posted with transition plan for ID/RD waiver |
| Public meetings conducted on Waiver Renewals and Transition Plan | 4 public meetings held throughout state to allow the public to comment on the proposed waiver renewal changes and the waiver transition plan | August 12, 2014 | August 21, 2014 | Public notice document, ID/RD Transition plan Draft document | SCDHHS, SCDDSN, Beneficiaries, families, Providers, Advocacy Groups | Public notice posted with transition plan for ID/RD waiver |
| Public Comment collection and revisions | SCDHHS will review all comments on the waiver renewals and transition plan and make appropriate changes to both documents | September 6, 2014 | September 30, 2014 | Public comments and any state response documents | SCDHHS | Public comments considered and appropriately incorporated into documents |