

Autism Spectrum Disorder Services Documentation Training Series: Progress Summary

South Carolina Department of Health and Human Services
Division of Behavioral Health
2021

Disclaimer

- Materials presented today are not comprehensive. This training does not take the place of reading the provider policy and procedure manual. Prior to treatment, all beneficiaries must meet the medical necessity criteria for that service. All information in this presentation pertains to South Carolina Department of Health and Human Services Healthy Connections (SCDHHS) Medicaid beneficiaries.

Purpose of the Orientation

- To act as a guide for Autism Service Disorder (ASD) providers who are learning about South Carolina Medicaid policy and procedures prior to rendering ASD.
 - While this presentation is designed to enhance understanding of the Medicaid Standards regarding the ASD Policy Manual, all aspects and policy are not covered in this presentation. Please review the ASD Manual and the Administrative and Billing Manual.
- To help providers avoid potential Medicaid recoupment.

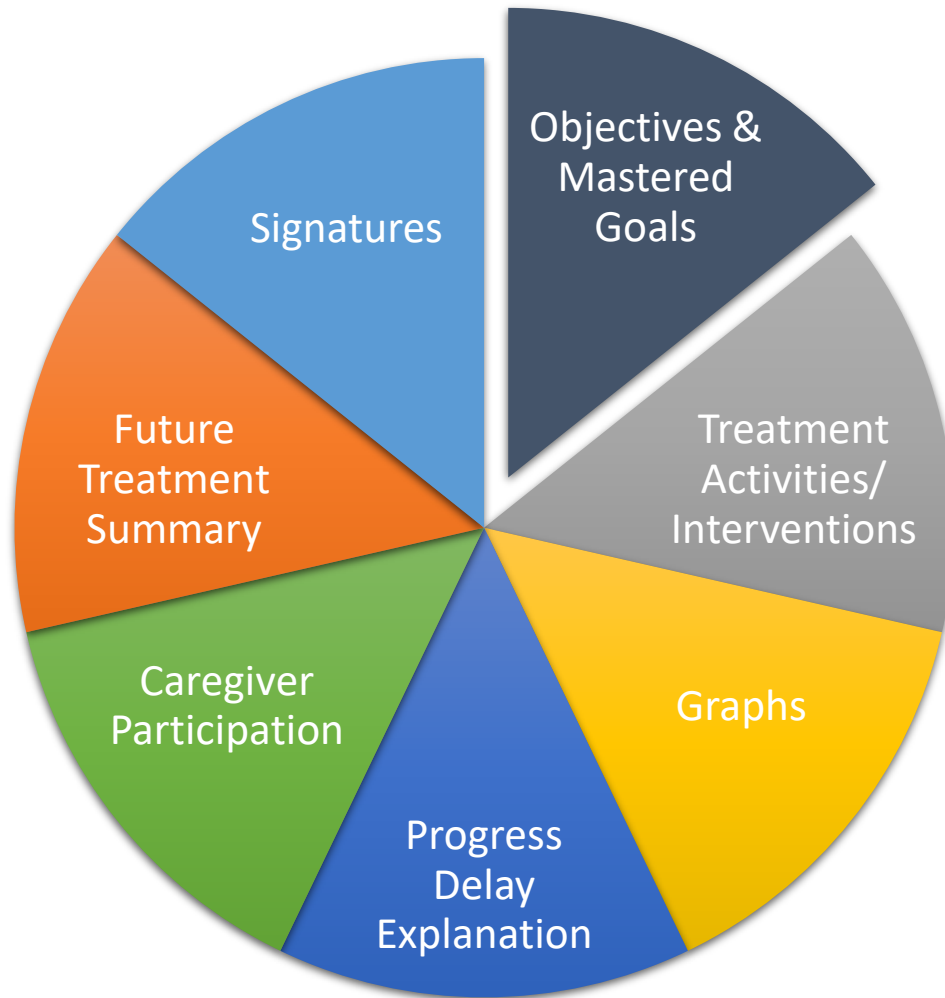
Documentation Training Series

- Comprehensive Psychological Assessment/Testing Report
- Behavior Identification Assessment
- Individualized Plan of Care
- ➔ • Progress Summary
- Clinical Service Note
- ABA Prior Authorization General Information

Objectives

1. Review the required components of the Progress Summary.
2. Provide examples of acceptable responses.
3. Provider opportunities to practice identifying acceptable responses.

Progress Summary



Specific Objectives

List of objectives from the IPOC that were targeted during the most recently authorized treatment period:

3.	<p>Continue: [REDACTED] will be able to list 25 unstated social rules, as evident by correct responses 3 consecutive days on the first trial presented with a 7-day retention check.</p> <p>Baseline: 0</p> <p>Current level: 5</p> <p>Anticipated Date of Mastery: February 2021</p>
4.	<p>Continue: [REDACTED] will answer questions/provide details when told a short story with a minimum of 5 sentences as evident by correct responses 3 consecutive days on the first trial presented with a 7-day retention check. Following mastery, three probes will be conducted with novel stories to ensure generalization of training.</p> <p>Baseline: 4</p> <p>Current level: 5</p> <p>Projected Date of Mastery: November 2020</p>
5.	<p>Continue: [REDACTED] will list appropriately the 5 rules for 'How to Respond to Failure, as evident by correct responding over 3 consecutive days and generalization in the natural environment.</p> <p>Baseline: 0</p> <p>Current level: 1</p> <p>Anticipated date of mastery: October 2020</p>
6.	<p>Mastered: [REDACTED] will be able to work on a 16-count math task continuously for 5 minutes when provided a single prompt. This goal will be met when [REDACTED] complies with the demand without engaging in problem behavior and completes all 16 problems with 100% accuracy across 3 consecutive days.</p>
7.	<p>Continue: [REDACTED] will be able to work on a 20-count math task continuously for 5 minutes when provided a single prompt. This goal will be met when McCall complies with the demand without engaging in problem behavior and completes 20 problems with 100% accuracy across 3 consecutive days. There is no retention check.</p> <p>Baseline: 92%</p> <p>Current level: 95%</p> <p>Projected Date of Mastery: November 2020</p>
8.	<p>Not Yet Introduced: [REDACTED] will be able to correctly fact 2 non-verbal cues of a listener during a conversation that are indicative of listener interest (interested or not interested) based on both pictures and in-person staff role</p>

Specific Objective Practice Session

Which choice is the best example of a specific objective?

A.

Objectives	Start Date	Mastered Date
Rate of aggression will occur no more than 1 time per week for a period of at least 3 weeks	Jan. 1, 2020	
Will follow no less than 25 different one-step instructions	March 3, 2019	August 28, 2019
Will mand for cessation of nonpreferred activity	Jan. 1, 2020	
Will follow instruction to wait 1 minute for preferred event	March 3, 2019	July 10, 2019
Will identify emotional responses	Jan. 1, 2020	
Will comply with instructions in community settings	March 3, 2019	April 25, 2019

B.

Objectives Targeted Jan.-March 2020	Start Date	Mastered Date
Rate of aggression will occur no more than 1 time per week for a period of at least 3 weeks	Jan. 1, 2020	
Will mand for cessation of nonpreferred activity	Jan. 1, 2020	Feb. 15, 2020
Will identify emotional responses	Jan. 1, 2020	

Specific Objective Practice Session

Correct Answer:

B.

Objectives Targeted Jan.-March 2020	Start Date	Mastered Date
Rate of aggression will occur no more than 1 time per week for a period of at least 3 weeks	Jan. 1, 2020	
Will mand for cessation of nonpreferred activity	Jan. 1, 2020	Feb. 15, 2020
Will identify emotional responses	Jan. 1, 2020	

Specific Treatment Activities or Interventions

This requirement is met by listing specific treatment activities or interventions used during the most recently authorized treatment period.

Specific Treatment Activities or Interventions Practice Session

Which is the best example of a specific treatment activity or intervention to include on a progress summary?

- A. During the previous treatment period the following interventions were utilized: Differential reinforcement, task analysis, shaping, and incidental teaching.
- B. In the last couple of months Jane has made consistent progress with her group and social skills. Due to her progress, Jane is receiving a 2:1 approach and is following group instruction and cooperative play with peers more independently. Her social skills have also improved and is now using words appropriately to communicate her needs instead of yelling, whining, or crying.

Specific Treatment Activities or Interventions Practice Session

Correct Answer:

- A. During the previous treatment period the following interventions were utilized: Differential reinforcement, task analysis, shaping, and incidental teaching.

Mastered Goals

The following are accepted:

- List of goals that were met during the most recent treatment period.
- Date next to goal in the treatment plan under the “met” or “mastered” column.
- A note that a goal was mastered with the date in the progress section of the goal description.

Mastered Goals Practice Session

Which is the best way to include mastered goals on the progress summary?

- A. During the previous treatment period the following goals were mastered:
- Jane will increase mands to a rate of 10 times per hour
 - Jane will receptively identify 10 family members
 - Jane will echo novel phrases with up to six syllables
 - Jane will look at speaker when name is called
- B. Jane mastered six out of 10 goals during the last couple of months.

Mastered Goals Practice Session

Correct Answer:

A. During the previous treatment period the following goals were mastered:

- Jane will increase mands to a rate of 10 times per hour
- Jane will receptively identify 10 family members
- Jane will echo novel phrases with up to six syllables
- Jane will look at speaker when name is called

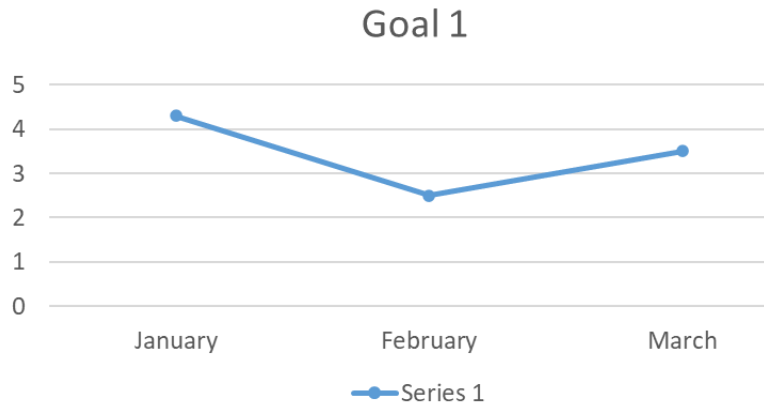
Graphs

One graph per goal demonstrating progress or areas of concern.

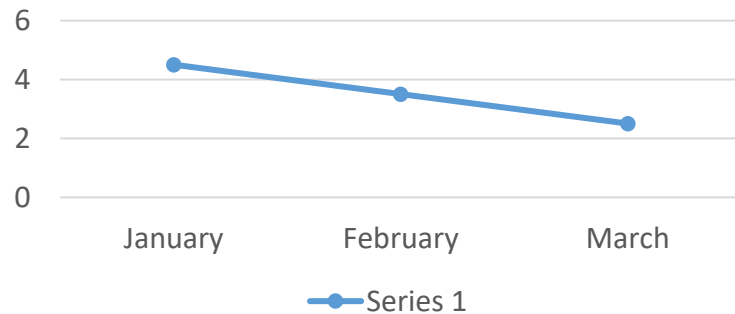
Graphs Practice Session

Which is the best example to include?

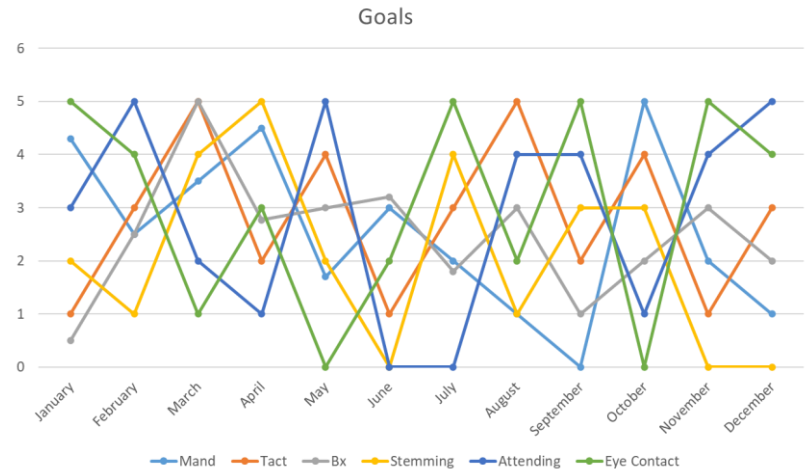
A.



Goal 2



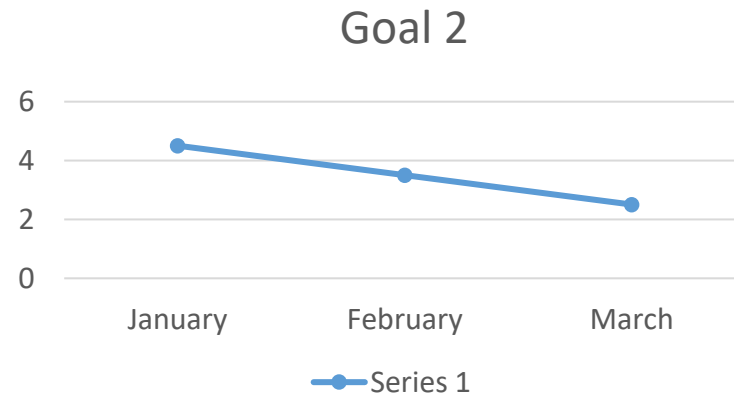
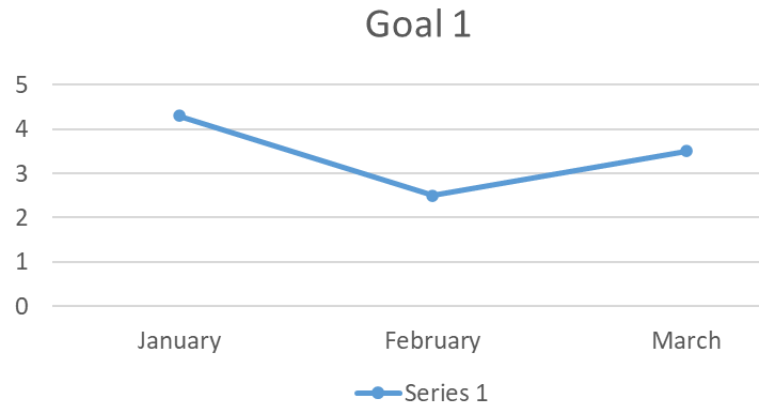
B.



Graphs Practice Session

Correct Answer:

A.



Progress Delay Explanation

This is present if graph shows no progress, regression, or escalation of maladaptive behaviors. Explains why no progress or a lack of progress was exhibited over the most recent treatment period.

Progress Delay Explanation Practice Session

What is the best way to explain a delay in progress?

- A. The delays during this time were due to compliance issues regarding sitting at the table and following through with single step demands as previously identified reinforcers were no longer reinforcing the targeted behavior.
- B. Her receptive pointing skills were delayed during this time and they are being taught errorlessly and then the prompts will be faded back to increase more independent answering.

Progress Delay Explanation Practice Session

Correct Answer:

- A. The delays during this time were due to compliance issues regarding sitting at the table and following through with single step demands as previously identified reinforcers were no longer reinforcing the targeted behavior.

Caregiver Participation

- Amount – The number of units or hours.
- Type – the procedure code requested or the procedure code description.

Caregiver Participation Practice Session

Which is the best way to include caregiver participation during the most recently authorized treatment period?

- A. BCBA Stacey met with the family to conduct family adaptive behavior treatment guidance for one hour on 3/15, 4/12 and 5/20. During these sessions they identified behaviors and deficits and taught them how to utilize treatment protocols to decrease spitting and increase attending to non-preferred task.
- B. Mom or Grandma are always attending the session as a viewer and also to deliver reinforcement to him with correct responses. During the parent trainings mom asks questions about his behaviors and how to work on them, and also brings up any concerns that she may be having. When she is instructed during the parent trainings mom follows through with what was taught to her while ABA is not home.

Caregiver Participation Practice Session

Correct Answer:

- A. BCBA Stacey met with the family to conduct family adaptive behavior treatment guidance for one hour on 3/15, 4/12 and 5/20. During these sessions they identified behaviors and deficits and taught them how to utilize treatment protocols to decrease spitting and increase attending to non-preferred task.

Future Treatment Summary

Narrative summary of treatment plan for the requested treatment period.

Future Treatment Summary Practice Session

Which summary of future treatment is the best example?

- A. During the requested treatment period, treatment will focus on increasing mands and tacts as well as decreasing vocal stereotypy and elopement.
- B. Next month Jane will start school back up and new goals will be written to address areas that she is struggling with academically. Jane will also be attending a social group on Saturdays to have peer interactions. During the group they work on social skills by playing social games and talking about what they like and dislike. They also work on teamwork and having good sportsmanship when they are playing a game.

Future Treatment Summary Practice Session

Correct Answer:

- A. During the requested treatment period, treatment will focus on increasing mands and tacts as well as decreasing vocal stereotypy and elopement.

Signatures

Must include:

- Signature of Provider
- Title of Provider
- Month, day and year of Provider's signature
- Signature of parent/caregiver
- Title of parent/caregiver
- Month, day and year of parent/caregiver's signature

Signatures Practice

Which example contains all the components required for signatures on the IPOC?

A.

My signature indicates that I was involved in the process of the IPOC and was offered a copy.

Parent/Caregiver Signature – _____ Date _____

B.

 _____

Laura Tiger, BCBA

 _____

Date

 _____

Parent/Caregiver Signature

Date  _____

Signatures Practice

Correct Answer:

B. Laura Tiger
Laura Tiger, BCBA

7/8/20
Date

Mom
Parent/Caregiver Signature

Date 7/9/20

